

# A categorical approach to automata learning and minimization – part 3

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INSTITUT  
DE RECHERCHE  
EN INFORMATIQUE  
FONDAMENTALE



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- The most famous learning algorithm for automata is the  **$L^*$ -algorithm** of Dana Angluin.  
*D. Angluin, Learning Regular Sets from Queries and Counterexamples, Information and Computation, 1978*

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- The algorithm stops when the teacher agrees that the hypothesis automaton accepts the language  $L$ .

## The $L^*$ -algorithm: some definitions

- At each step, we maintain a pair of sets of words  $(Q, T)$ , starting with  $(\{\epsilon\}, \{\epsilon\})$ .
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- When  $(Q, T)$  is closed and consistent it is possible to build a **hypothesis automaton**  $\mathcal{H}(Q, T)$

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**while**  $(Q, T)$  not closed and consistent

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  ask an **equivalence query** for  $\mathcal{H}(Q, T)$

**if** the answer is **no** then

    add the counterexample and its

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**until** the answer is **yes**

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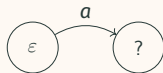
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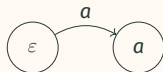
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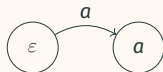
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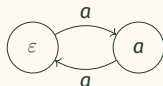
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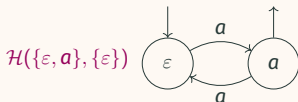
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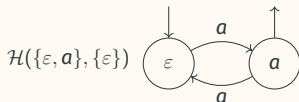
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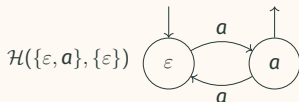
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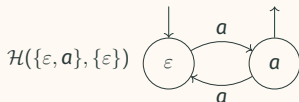
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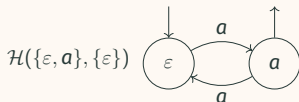
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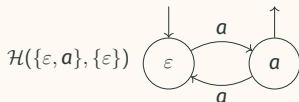
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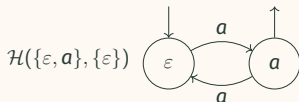
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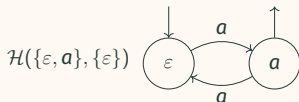
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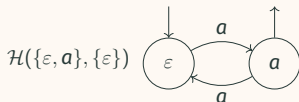
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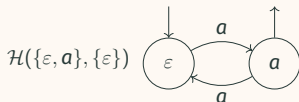
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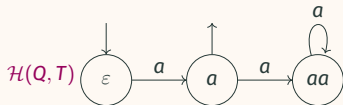
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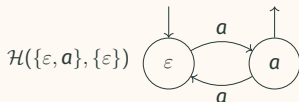
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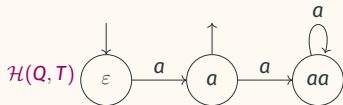
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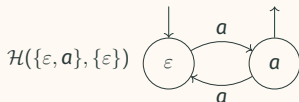
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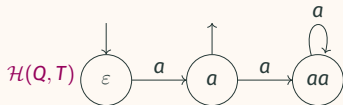
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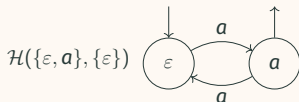
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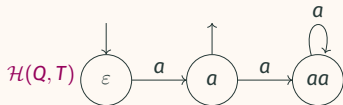
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## The $L^*$ -algorithm: Variations

The  $L^*$ -algorithm has been extended to various other forms of automata

- weighted automata over fields (Bergadano and Varricchio, 1996)
- ~~sub~~sequential transducers (Vilar, 1996)
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Other category theoretic generalizations (van Heerd et al., 2017; Urvat and Schröder, 2019)

**Back to learning...**  
**automata, not categories!**

---



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- At the  $(Q, T)$  stage of the algorithm the learner only has access to a fragment of the language:

$$L_{Q,T} : QAT \cup QT \xrightarrow{\quad} A^* \xrightarrow{L} \mathcal{L}$$

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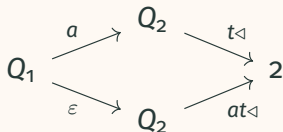
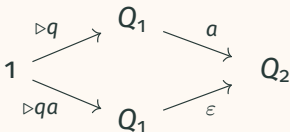
- At the  $(Q, T)$  stage of the algorithm the learner only has access to a fragment of the language:

$$L_{Q,T} : QAT \cup QT \xrightarrow{\quad} A^* \xrightarrow{L} 2$$

- This can be represented by a notion of  $(Q, T)$ -biautomaton

$$1 \xrightarrow[\substack{\triangleright q \\ (q \in Q)}]{\quad} Q_1 \xrightleftharpoons[\varepsilon]{a \ (a \in A)} Q_2 \xrightarrow[\substack{t \triangleleft \\ (t \in T)}]{\quad} 2$$

such that the following **coherence diagrams** commute



## Minimal $(Q, T)$ -biautomaton and the hypothesis automaton

We can compute the minimal  $(Q, T)$ -biautomaton in an arbitrary category\* using off-the-shelf results from (Colcombet, P., 2017).

$$1 \xrightarrow{\triangleright q_{min}} Q / \sim_{T \cup AT} \begin{array}{c} \xrightarrow{a_{min}} \\ \xrightarrow{\varepsilon_{min}} \end{array} (Q \cup QA) / \sim_T \xrightarrow{t \triangleleft_{min}} 2$$

Recall  $w \sim_T v$  iff  $\forall u \in T. \quad wu \in L \Leftrightarrow vu \in L$

\* under mild assumptions

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- $\varepsilon_{min}$  is **surjective** iff  $(Q, T)$  is **closed**
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- If  $\varepsilon_{min}$  is an **isomorphism** we merge the two states of the  $(Q, T)$ -biautomaton and obtain  $\mathcal{H}(Q, T)$ .

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# The *FunL*<sup>\*</sup>-algorithm

---

## The $FunL^*$ -algorithm

**input:** teacher of the target language  $L$

in a category  $\mathcal{C}$

**output:**  $Min(L)$

$Q := T := \{\varepsilon\}$

**repeat**

**while**  $\varepsilon_{min}$  is not an isomorphism **do**

$Iso = E \cap M$

**if**  $\varepsilon_{min} \notin E$  **then**

$(E, M)$  fact. system

add QA to  $Q$

**if**  $\varepsilon_{min} \notin M$  **then**

add AT to  $T$

ask an equivalence query for the hypothesis automaton  $\mathcal{H}(Q, T)$

**if** the answer is **no** **then**

add the counterexample and all its prefixes to  $Q$

**until** the answer is **yes**

**return**  $\mathcal{H}(Q, T)$

## Correction and termination of the algorithm

**Theorem.** Assume  $\mathcal{C}$  is a category with a factorization system  $(E, M)$ , having countable copowers and countable powers.

We consider a target language  $L$  in the category  $\mathcal{C}$  such that the state space of the minimal automaton for  $L$  is  $(E, M)$ -noetherian\* (generalization of finite).

Then the  $FunL^*$ -algorithm terminates, eventually producing the minimal automaton  $Min(L)$  accepting  $L$ .

\* $(E, M)$ -noetherianity means no infinite chains of  $E$ -quotients or of  $M$ -subobjects.

# Perspectives

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And what can be done when we know that  $\text{Kl}(T)$  is not good enough?

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Understand this through a lax functor from JSL to Rel... Ongoing work with Quentin Schroeder and Quentin Aristote.



## Further extensions

- Extension to tree automata
- Weighted automata over semirings ...
- What about other forms of learning, e.g., nominal automata? We can build on Victor Iwaniack's work on automata in toposes.

**And even more importantly ...**



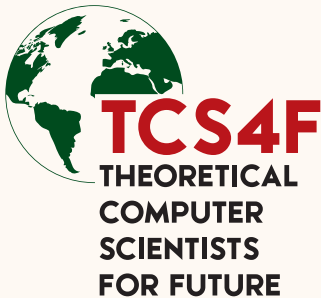
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An estimation of the emissions per person for a return trip Paris - Barcelone

- by train : approx. **6 kg CO<sub>2</sub>**
- by plane : approx. **680 kg CO<sub>2</sub>**